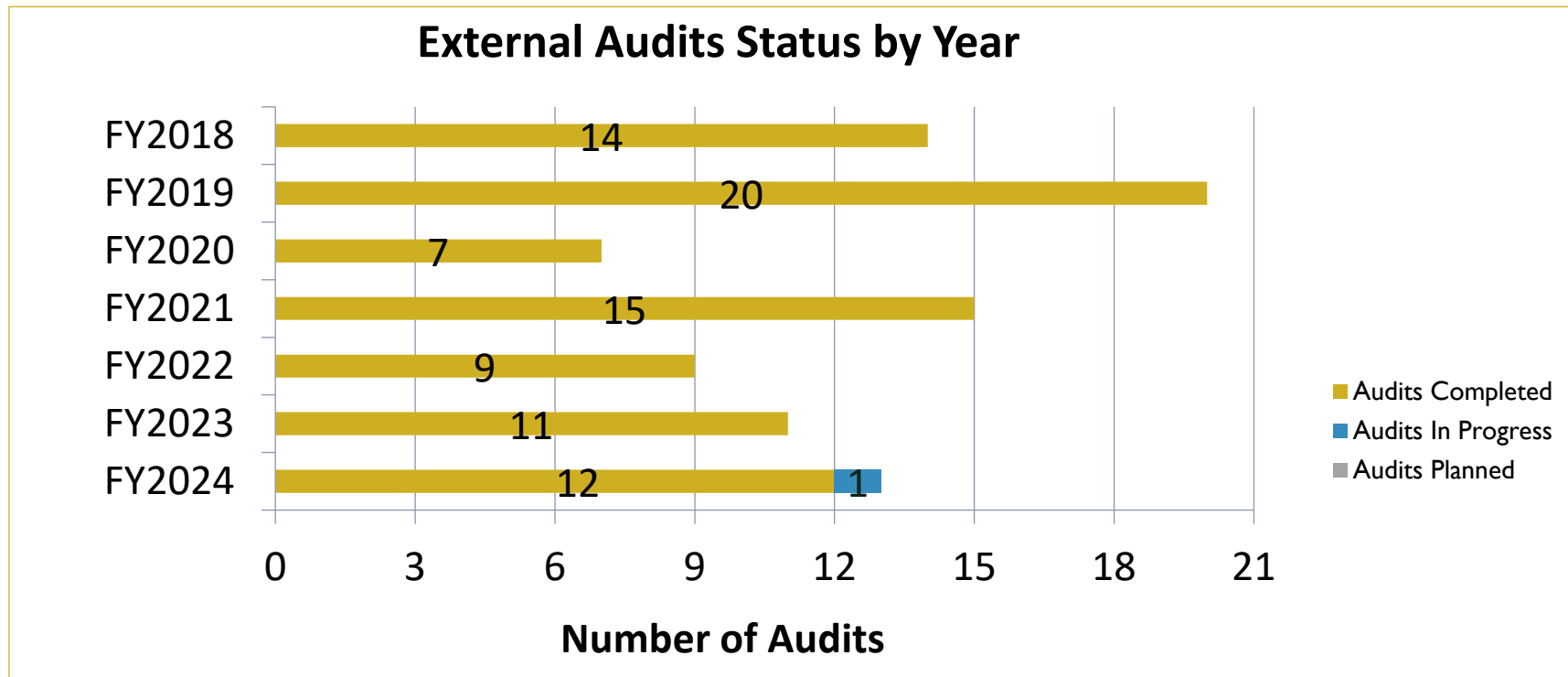


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
October 22, 2024

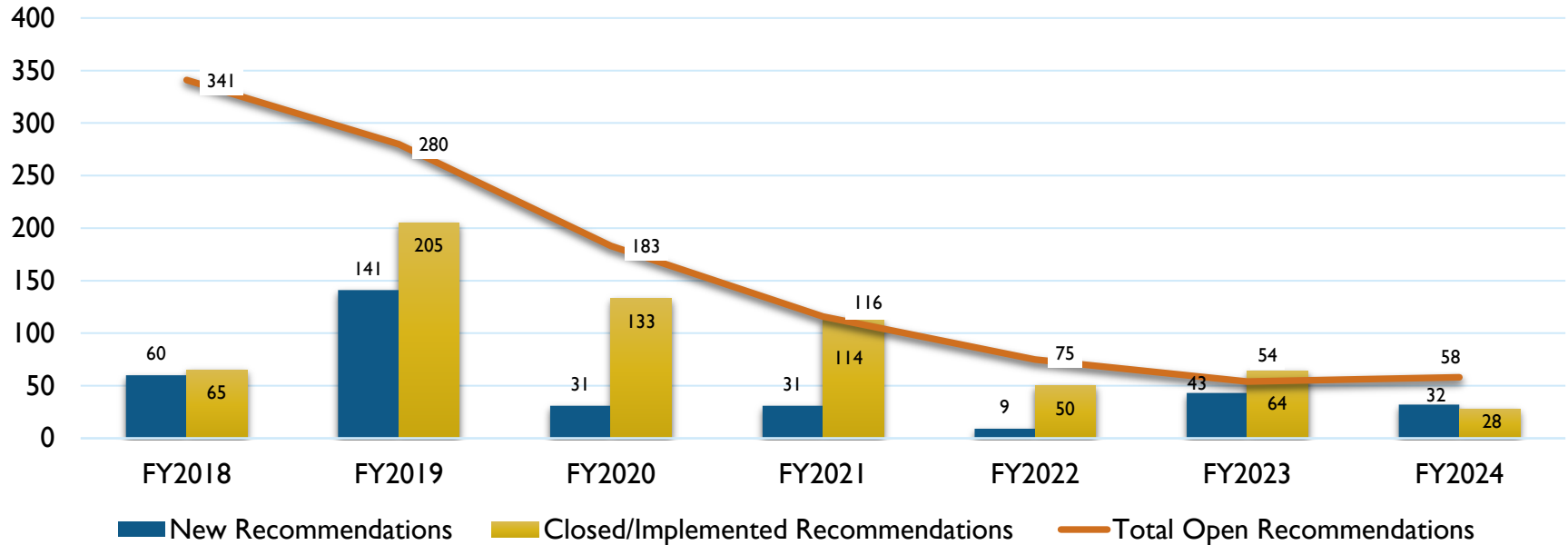
External Audit Activity (FY2018-2024)



External Audit Status

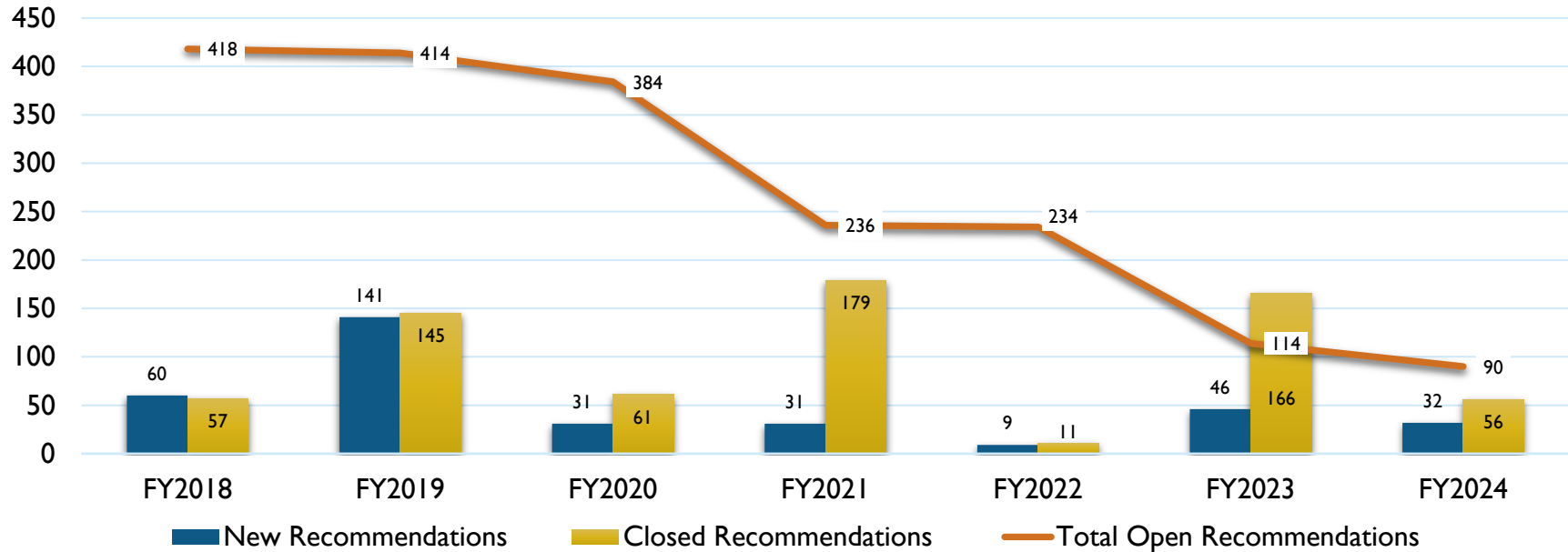
Audits Completed (FY2024)	Audits in Progress (FY2024)	Audits Planned (FY2024)
<ol style="list-style-type: none"> 1. Mid-Year F/S Review (CY2023) 2. Annual F/S Audit (CY2023) 3. Review of TSP System Implementation 4. Decommissioning of Legacy Systems Control 5. Participant Website 6. Mainframe Configuration and Security Controls 7. Insider Threat Controls 8. Account Maintenance 9. Payroll Service Provider TSP Operations 10. Mobile Device and Security Governance Review 11. FISMA (FY 2024) 12. Mid-Year F/S Review (CY2024) 	<ol style="list-style-type: none"> I. Use of Minority and Women-owned Asset Management Firms 	<div data-bbox="1416 658 1647 825" style="border: 1px solid black; padding: 10px; margin: 20px auto; width: fit-content;"> <p>Auditor Legend</p> <p>EBSA</p> <p>CLA/Sikich</p> <p>Williams Adley</p> <p>GAO</p> </div>

FRTIB Audit Remediation FY2018 – FY2024



Audit activity includes audit remediation results determined as implemented by the OCFO External Audit Management Division.

External Auditor Recommendations FY2018 – FY2024



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, FISMA, and 2015 – 2016 external agency assessments. FRTIB leadership closed all open external assessment findings and recommendations via a signed memorandum. OEA closed all open GAO audit findings and recommendations.

Questions

